



THE AUSTIN CITY COUNCIL **AUDIT AND FINANCE COMMITTEE** CONVENED IN A REGULAR MEETING ON WEDNESDAY, NOVEMBER 20, 2013 AT 10:04 A.M. IN THE BOARDS & COMMISSIONS ROOM, FIRST FLOOR, ROOM 1101, CITY HALL, 301 W. 2nd STREET.

Chair Sheryl Cole called meeting to order at 10:04 a.m. In attendance were Council Member Kathie Tovo, Council Member Bill Spelman, and Council Member Laura Morrison.

Agenda Item #1: Citizen Communication

Scott Johnson spoke on resources allocated to special events and the issue of flooding cost related to resources allocated.

Agenda Item #2: Approval of October 23, 2013 and November 5, 2013 minutes

The minutes of the October 23, 2013 and November 5, 2013 meetings were approved on the motion from Council Member Spelman, seconded by Mayor Pro Tem Cole, with a 4-0 vote.

Agenda Item #3: The Procure to Pay Process Audit which determined whether procurement of goods and services is processed in compliance with the City's established procure to pay policies and procedures (Office of the City Auditor)

Walt Persons, Assistant City Auditor, presented the results of the audit, which was led by Henry Katumwa. The audit was accepted on a motion by Council Member Spelman, seconded by Mayor Pro Tem Cole, with a 4-0 vote.

Agenda Item #4: The Fiscal Year 2013 Hotel Occupancy Tax Revenue Audit (Report 2 of 2) which determined whether three hotels reported hotel occupancy tax exemptions to the City Controller in accordance with City and State laws (Office of the City Auditor)

Hector Gonzales, Assistant City Auditor, presented the results of the audit, which was led by Karl Stephenson. Gonzales, Corrie Stokes, Deputy City Auditor, and Diana Thomas, City Controller, answered questions from Council. The audit was accepted on a motion by Council Member Morrison, seconded by Mayor Pro Tem Cole, with a 4-0 vote.

Agenda Item #5: Formation of a new committee, the Transportation Network Companies Committee, of the Urban Transportation Commission (Office of the City Clerk)

Jannette Goodall, City Clerk, presented on the formation of the new committee and answered questions from Council. The committee formation was accepted on a motion by Council Member Morrison, seconded by Council Member Spelman, on a 4-0 vote.

Agenda Item #6: Discussion of City Hall renovations and the location of the Office of the City Auditor during and after the transition to Single Member Districts

Michael McDonald, Deputy City Manager, Eric Stockton, Building Services Officer, and Lauraine Rizer, Real Estate Officer, presented on the City Hall renovations and location of the Office of the City Auditor. McDonald, Stockton, Rizer, Corrie Stokes, Deputy City Auditor, and Debra Thomas, Division Chief of the Law Department, answered questions from Council. No action was taken.

Agenda Item #7: Update on the status of the City of Austin Gift Policy as requested by the Audit and Finance Committee at the May 8 meeting (Human Resources Department)

Mark Washington, Director of the Human Resources Department, and Karen Sharp, Human Resources Assistant Director, presented on the status of the City of Austin Gift Policy. Washington, Sharp, and Beverly West, Attorney Senior, answered questions from Council. No action was taken.

Agenda Item #8: The Privacy of Personally Identifiable Information (PII) Audit which evaluated the protection of PII collected and/or stored by the City (Office of the City Auditor)

Hector Gonzales, Assistant City Auditor, presented the results of the audit, which was led by Andrew Keegan. Gonzales, Corrie Stokes, Deputy City Auditor, Jannette Goodall, City Clerk, and Bob Guz, Records Manager, answered questions from Council. The audit was accepted on a motion by Council Member Spelman, seconded by Council Member Morrison, with a 3-0 vote. Council Member Tovo was off the dais.

Agenda Item #9: The Zero Waste Audit which evaluated if the City's Zero Waste efforts are measurable, achievable, and meeting established goals (Office of the City Auditor)

Patrick Johnson, Assistant City Auditor, presented the results of the audit, which was led by Rebecca Takahashi. Bob Gedert, Director of Austin Resource Recovery, answered questions from Council. The audit was accepted on a motion by Council Member Spelman, seconded by Mayor Pro Tem Cole, with a 4-0 vote.

Agenda Item #10: Quarterly Status report on the Water Treatment Plant 4 (Austin Water Utility Department)

Greg Meszaros, Director of the Austin Water Utility, presented a status report of Water Treatment Plant 4. Meszaros and Bill Stauber, Supervising Engineer for AWU, answered questions from Council. No action was taken.

Adjourn – Mayor Pro Tem Cole adjourned the meeting without objections at 12:09 p.m.